

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of

Shinichi NISHIKAWA

Application No.: 09/931,242

Filed: August 17, 2001

For: METHOD OF MANUFACTURING  
MICRO GLASS OPTICAL ELEMENT

Group Art Unit: 1731

Examiner: Unassigned

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NOV 23 2001  
TC 1700

**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [ ] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [ ] Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ [ ] A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Alexandria, Virginia 22313-1404  
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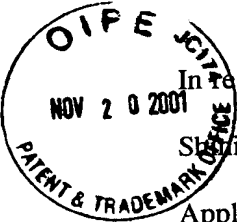
By: Douglas H. Pearson  
Douglas H. Pearson  
Registration No. 47,851

Date: November 20, 2001

#3 BM  
11-27-01

Patent  
Attorney's Docket No. 018656-240

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re Patent Application of )

Shunichi NISHIKAWA )

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.


- U.S. Patent No. 5,626,641;
- U.S. Patent No. 5,709,723; and
- U.S. Patent No. 5,738,701.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:   
Douglas H. Pearson  
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Alexandria, Virginia 22313-1404  
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Date: November 20, 2001

Substitute for form 1449A/PTO

ATTORNEY'S DKT No.  
018656-240

APPLICATION No.  
09/931,242

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

APPLICANT  
Shinichi NISHIKAWA

FILING DATE  
August 17, 2001

GROUP  
1731

NOV 20 2001

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.